## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lake, J. : Group Art Unit:

To Be Assigned

Serial No.: To Be Assigned : IPLaw T81/B503

: IBM Corporation

Filed: Concurrently Herewith : PO Box 12195

RTP, NC 27709

Title: Estimating Software Project Requirements For Resolving Defect Backlogs

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

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FORM PTO-1449 (Modified)

List of Patents and Publications for **Applicant's Information Disclosure Statement** 

(Use several sheets if necessary)

ATTY. DOCKET NO. RSW920040056US1

SERIAL NO. TO BE ASSIGNED

APPLICANT: Lake, J.

FILING DATE: To be Assigned

**GROUP:** 

To Be Assigned

| Reference Designation |  | US PATENT DOCUMENTS |                         |                        |                         |  |
|-----------------------|--|---------------------|-------------------------|------------------------|-------------------------|--|
| Examiner<br>Initials  | Document<br>Number   | Date                | Name                    | Class/Subclass         | Filing Date             |  |
|                       |  | Date                | Hailic                  | Ciass/Subciass         | (If. Appro.)            |  |
| AA                    | 2003/0105657   | 06/05/03            | Nandigama et al.        | 705/9                  | 11/16/01                |  |
| AB                    | 2003/0225470   | 12/04/03            | Demetriou et al.        | 700/100                | 01/30/03                |  |
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|                       | _  |                     |                         |                        | Translation             |  |
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| Al                    | JP4153857A   | 05/27/92            | Japan                   | G06F 15/00 (Abs        | G06F 15/00 (Abstract) X |  |
|                       | OTHER ART (Inc   | luding Auth         | or, Title, Data, Pertir | nent Pages, etc.)      |                         |  |
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| AL                    | Putnam, L. et al. "Controlling Software Development" IEEE Computer Society, Press Order No. BR07452, ISBN 0-8186-7452-0, pp 39-45, 1996  |                     |                         |                        |                         |  |
| AM                    | Grady, R. "Successfully Applying Software Metrics" IEEE 0018-9162/94, pp 18-25, September, 1994  |                     |                         |                        |                         |  |
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| Examiner              |  |                     |                         | -                      | Date Considered         |  |